

2. Required Documentation

If any of the questions above is answered with yes, Habib Bank AG Zurich will request the Client to immediately complete the IRS W-9 Form confirming the US Tax status. The undersigned Client hereby consents that in such a case, relevant account and/or personal information is released to the US Tax authorities.

3. Beneficial Ownership

The undersigned Client hereby declares that either he/she is the beneficial owner according to U.S. tax principles of the assets and income to which this form relates or, if another (*additional*) beneficial owner(s), no such other beneficial owner is a '**U.S. Person**' under U.S. tax principles for whom a 'Yes' box would have to be checked under point 1. above.

4. Change Of Circumstances In Status As Non-U.S. Person

For the duration of the contractual relationship with the Bank, the undersigned Client undertakes to notify the Bank, at his/her own initiative and within 30 days, if his/her status (*and/or the status of any other (additional) beneficial owner of the relationship*) as a Non-U.S. Person under U.S. tax principles changes to the status of a U.S. Person under U.S. tax principles.

5. Subsequent discovery of Status as a U.S. Person/agreement to sell U.S. Securities under deduction of U.S. Backup Withholding Tax

If for whatever reason, this Declaration is or becomes inaccurate after its filling with the Bank due to a change in the circumstances regarding the Client's (*or another beneficial owner's*) status from a Non-U.S. Person to a U.S. Person, and/or late discovery of the fact that, notwithstanding this Declaration, the Client (*or another beneficial owner*) is or has become a U.S. Person under U.S. tax principles, and If, at that time, the Client does not agree to file a valid IRS Form W-9 with the Bank, the undersigned Client hereby irrevocably instructs the Bank to sell all U.S. investments falling hereunder in the Client's safekeeping account(s), if any, with the Bank, following standard business practice and without prior notice, and to deduct and to remit to the IRS the relevant withholding tax at the then applicable rate on the gross proceeds of such investments, as provided for under the Qualified intermediary Agreement and FFI Agreement concluded between the Bank and the U.S. Internal Revenue Service.

The undersigned Client expressly and without any limitation herewith waives any claims for damages and will indemnify the Bank for any liability in connection with the sale of his/her U.S. investments pursuant to the application of this provision.

Applicant Signature : _____

Place : _____

Date :
day month year

- ❶ To be kept on file internally with the Bank only
- ❷ The holder is the person in whose name the account is held or has powers to operate the account, if in name of more than one holder, each holder must fill out and sign separate forms.
- ❸ List all nationalities, if more than one.
- ❹ Physical presence in the United States on at least 31 days during the current year, and 183 days during the 3 year period that includes the current year and the 2 years immediately before. For details in terms of how to count days for these purposes, please consult the IRS website: <http://www.irs.gov/taxtopics/tc851.html>

FOR OFFICE USE

Reviewed by:

I confirm that the 'Self-Certification of U.S Person Status form' has been checked and no US indicia was found on the Account holder.

Relationship Manager / Branch Officer

Signature _____

Name _____

Date
day month year

Checked by:

The documents & 'Self-Certification of U.S Person Status form' has been reviewed and is in order, No US indicia was found on the Account holder.

Centralized Account Opening

Signature _____

Name _____

Date
day month year

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